



Financial Planning Assessment

Check in during COVID 19; Are you and your financial plan still on track?

Circle One

The Plan

I have a comprehensive, written, long-term financial plan based on reasonable assumptions that achieves what I want for myself and my family. **Yes / Maybe / No**

I update my plan regularly **Yes / Maybe / No**

I have spoken to my advisor to discuss impact/changes during this time. **Yes / Maybe / No**

Investing / Saving

I understand my investment strategy. **Yes / Maybe / No**

I am confident my investment strategy supports my financial goals. **Yes / Maybe / No**

I have stuck with my investment strategy the past few months. **Yes / Maybe / No**

I have one advisor who knows and coordinates my overall asset allocation which includes tax and cash flow strategies. **Yes / Maybe / No**

I have a specific plan for adjusting my investment strategy as my retirement approaches. **Yes / Maybe / No**

My advisor has proactively managed my portfolio during this time **Yes / Maybe / No**

Cashflow

I know my overall spending and in broad strokes what I spent it on. **Yes / Maybe / No**

I review my projected vs actual spending annually in the context of my financial plan **Yes / Maybe / No**

I know how much I need to save this year and I'm doing it. **Yes / Maybe / No**

I am prepared for occasional large expenses, both planned and unplanned. **Yes / Maybe / No**

Chicago
1 N. LaSalle St., 33rd Floor
Chicago, IL 60602
312.831.4370

Oak Brook
2211 York Rd., Suite 310
Oak Brook, IL 60523
630.455.0600



Taxes

- My advisor considers tax strategies in my investment plan. Yes / Maybe / No
- My advisor helps me produce needed cash in a tax efficient way Yes / Maybe / No
- My advisor regularly communicates with my accountant Yes / Maybe / No

Things Don't go as Planned

- I am confident that my early death, or a disability or serious illness would not derail the financial plan for me or my family. Yes / Maybe / No
- I know what would happen with my children, my spouse, my money and my possessions should I die. Yes / Maybe / No
- My spouse/partner knows what would happen in the event of my early death or disability and the key people to call and where my documents are located. Yes / Maybe / No
- I have recently reviewed my documents to ensure the key decision makers are still appropriate. Yes / Maybe / No

Advisors

- I feel that the fees I am paying my financial advisor are a good value. Yes / Maybe / No
- My financial advisor explains concepts in a way I easily understand. Yes / Maybe / No
- My financial advisor includes both me and my spouse/partner in the conversation. Yes / Maybe / No
- My advisor is proactive. They reach out when there are changes to the laws to explain, if and how, they impact me. Yes / Maybe / No
- My advisor knows everything that touches me financially and my other advisors Yes / Maybe / No
- My advisor is a fiduciary. I am confident they always make recommendations that are in my best interest. Yes / Maybe / No

Total your responses: For each yes, you get one point. A score of 20 or higher, congratulations! If you scored lower, consider talking with an advisor at Private Vista for a complimentary consultation about next steps to better positions yourself financially.

Chicago
1 N. LaSalle St., 33rd Floor
Chicago, IL 60602
312.831.4370

Oak Brook
2211 York Rd., Suite 310
Oak Brook, IL 60523
630.455.0600